

Exclusion List – Conduct Based

Company	Country
BARRICK GOLD CORP	CA
BHP GROUP LIMITED	AU
China National Petroleum Corporation	CN
CORECIVIC, INC.	US
GCL Technology Holdings Limited	HK
GLENCORE PLC	CH
GMK NORIL'SKIY NIKEL' PAO	RU
Hoshine Silicon Industry Co., Ltd.	CN
Jinko Solar Co., Ltd.	CN
JinkoSolar Holding Co., Ltd.	CN
Koninklijke Philips N.V.	NL
Lens Technology Co.,Ltd.	CN
Luxshare Precision Industry Co., Ltd.	CN
OCP S.A.	MA
PetroChina Company Limited	CN
Petroleos del Peru - Petroperu S.A.	PE
Petroleos Mexicanos	MX
Porsche Automobil Holding SE	DE
SAIC Motor Corporation Limited	CN

Company	Country
TBEA CO., LTD.	CN
THE GEO GROUP, INC.	US
Tokyo Electric Power Company Holdings, Inc.	JP
Trina Solar Co., Ltd.	CN
UNIVERSAL HEALTH SERVICES, INC.	US
VALE S.A.	BR
Volkswagen Aktiengesellschaft	DE
Xinjiang Zhongtai Chemical Co., Ltd.	CN
Youngor Group Co., Ltd	CN
Zijin Mining Group Company Limited	CN

Exclusion List - Government bond

Country	EU/UN Sanctions ¹	(Most) severe violations
Afghanistan	X	X
Belarus	X	X
Burundi		X
Central African Republic	X	X
Democratic Republic Congo	X	X
Egypt		X
Eritrea		X
Ethiopia		X
Haiti		X
Iran	X	
Iraq	X	X
Lebanon	X	

Country	EU/UN Sanctions [*]	(Most) severe violations
Libya	X	X
Mali		X
Myanmar	X	X
North Korea	X	X
Russia	X	X
Somalia	X	X
South Sudan	X	X
Sudan	X	X
Syria	X	X
Venezuela	X	X
Yemen	X	X
Zimbabwe	X	X

1. Weapon embargo