

# Convention Library

The quarterly ESG screening examines if the investment portfolios meet the requirements of the following list of international treaties and conventions (A). These treaties and conventions come from intergovernmental organizations and can in many cases be ratified by national governments. In addition, the periodic screening also examines whether the investment portfolios meet other guidelines, benchmarks, industry initiatives, frameworks and (certification) programs (mostly intended for companies). This list (B) is also shown in this document.

Amsterdam, 2019

## A. International treaties and conventions

### Labour

1. ILO – Abolition of Forced Labour Convention, 1957/130
2. ILO – Worst Forms of Child Labour Convention, 1999
3. ILO – Hours of Work Convention, 1919/130
4. ILO – Occupational Safety and Health Convention, 1981
5. ILO – Termination of Employment Convention, 1982
6. ILO – Discrimination (Employment and Occupation), 1958
7. ILO – Equal Remuneration Convention, 1951
8. ILO – Prevention of Major Industrial Accidents Conventions, 1993
9. ILO – Minimum Age Convention, 1973
10. ILO – Minimum Wage Fixing Convention, 1970
11. ILO – Right to Organize and Collective Bargaining Convention, 1949
12. ILO – Safety and Health in Mines Convention, 1995
13. ILO – Freedom of Association and Protection of the Right to Organise Conventions, 1948
14. ILO – Promotional Framework for Occupational Health and safety Convention, 2006
15. ILO – Tripartite Declaration of Principles Concerning Multinational Enterprises and Social Policy, 2006

### Human rights

16. The Hague Convention, 1907
17. Geneva Convention on the Treatment of Prisoners of War, 1949
18. Geneva Convention on the Protection of Civilian Persons in Time of War, 1949
19. First Additional Protocol to the Geneva Convention on the Protection of Victims of International Armed Conflicts, 1977
20. ILO – Indigenous and Tribal Peoples Convention, 1989
21. International Convention on Civil and Political Rights, 1966
22. International Convention on Economic, Social and Cultural Rights, 1966
23. The Universal Declaration of Human Rights, 1948
24. Basic Principles on the Use of Force and Firearms by Law Enforcement Officials, 1990
25. International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families, 1990
26. Convention on the Elimination of all Forms of Racial Discrimination, 1965
27. Convention on the Elimination of all Forms of Discrimination against Women, 1979
28. Convention on the Rights of the Child, 1989
29. UN Convention on the Status of Refugees, 1951
30. Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment, 1984
31. Code of Conduct for Law Enforcement Officials, 1979
32. General Assembly Resolution (XVII) of 14 December 1962, “Permanent Sovereignty over Natural Resources”
33. UN Declaration on the Rights of Indigenous Peoples, 2007
34. UN Guiding Principles on Business and Human Rights, 2011

### Environment

35. Basel Convention on the Control of Transboundary Movements of Hazardous Wastes, 1989
36. Cartagena Protocol on Biosafety, 2000
37. CITES (Convention on International Trade in Endangered Species of Wild Fauna and Flora), 1973
38. Convention on Biological Diversity, 1992
39. Convention on the Protection and Use of Transboundary Watercourses and International Lakes, 1992
40. Convention for the Protection of the Marine Environment of the North-East Atlantic, 1998
41. Convention on Long-range Transboundary Air Pollution, 1979
42. EU Directive 2013/30/EU on Safety of offshore Oil and Gas Operations
43. EU Directive on the Management of Waste from the Extractive Industries, 2006
44. EU Timber Regulation (EUTR)
45. FAO Basel Criteria for Responsible Soy Production, 2004
46. FAO International Code of Conduct on the Distribution and Use of Pesticides, 2003
47. International Convention on Oil Pollution Preparedness, Response and Cooperation, 1990

48. Kyoto Protocol to the United Nations Framework on Climate Change, 1997
49. Montreal Protocol on Substance that Deplete the Ozone Layer, 1987
50. Ramsar Convention on Wetlands, 1971
51. REACH (Registration, Evaluation, Authorisation and Restriction of Chemicals), 2006
52. Stockholm Convention on Persistent Organic Pollutants, 2001
53. UNECE (Aarhus) Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters, 1998
54. UNESCO World Heritage Convention, 1972
55. UN Convention on the Law of the Sea (UNCLOS), 1982
56. UN Convention on the Prevention of Marine Pollution by Dumping of Wastes and other Matter (IMO), 1972/1996
57. UN Rotterdam Convention on the Prior Informed Consent Procedures for Certain Hazardous Chemicals and Pesticides in International Trade, 2004
58. United Nations Framework Convention on Climate Change, 1992
59. UN Stockholm Declaration on the Human Environment, 1972
60. Vienna Convention for the Protection of the Ozone Layer, 1985
61. WHO International Code of Marketing of Breast-milk Substitutes, 1981

### Corruption, bribery and money laundering

62. Dodd-Frank Act, 2010
63. EU Capital Requirements Directive IV (2013/36/EU)
64. EU Anti-Money Laundering Directive (AMLD), 2013
65. OECD Anti-bribery Convention, 1997
66. United Nations Convention against Corruption, 2003

### Weapons/arms

67. Convention on the Prohibition of Development, Production, Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction, 1972
68. Convention on the Prohibition of the Use, Stockpiling, Production and Transfer or Anti-Personnel Mines and on Their Destruction, 1997
69. Convention on Certain Conventional Weapons (CCW), 1980
70. Chemical Weapons Convention (CWC), 1993
71. Convention on Cluster Munitions, 2008
72. Treaty on the Non-Proliferation of Nuclear Weapons, 1968

### Porn

73. Optional Protocol to the Convention of the Rights of the Child on the sale of children, child pornography and child prostitution, 2000

### Nuclear energy

74. Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, 1997
75. The Convention on Nuclear Safety, 1994

### Animal Welfare (including fur and animal testing)

76. Convention on the Conservation of Migratory Species of Wild Animals (Bonn Convention, UNEP), 1979
77. EU Council Directive concerning the Protection of Animals (98/58EG), 1998
78. European Convention on the Protection of Animals Kept for Farming Purposes, 1976
79. European Convention for the Protection of Pet Animals, 1987
80. European Convention for the Protection of Vertebrate Animals used for Experimental and Other Scientific Purposes, 1986
81. FAO Code of Conduct for Responsible Fisheries, 1995
82. FAO International Principles for Responsible Shrimp Farming, 2006
83. UN Straddling Stocks Agreement, 1995

### Tobacco

84. WHO Framework Convention on Tobacco Control, 2003

## B. Other guidelines, benchmarks, (sector) initiatives, frameworks and (certification) programs

85. Access to Medicine Index
86. AWS Standard, International Water Stewardship (AWS)
87. Bangladesh Fire and Building Safety Agreement, 2013
88. Terrestrial Animal Health Code, World Organisation for Animal Health (OIE), 1968
89. Aquatic Animal Health Code, World Organisation for Animal Health (OIE), 2010
90. Sustainable Development Framework of the International Council on Minerals & Metals (ICMM), 2003
91. Sustainability Criteria for Biomass for Energy Purposes, NTA 8080:2009, 2009
92. Extractive Industries Transparency Initiative (EITI)
93. Equator Principles (EP)
94. Greenhouse Gas Protocol, 2004
95. IFC Performance Standards, IFC/World Bank
96. IFC Policy on Social and Environmental Sustainability, IFC/World Bank, 2012
97. International Management Code for the use of Cyanide, UNEP/ICME, 2005
98. Global Principles and Criteria for Sustainable Biofuels Production, Round Table on Biofuels, 2010
99. ISO 26000
100. OECD Guidelines for Multinational Enterprises and Responsible Business Conduct for Institutional Investors: Key Considerations for Due Diligence under the OECD Guidelines for Multinational Enterprises, 2017
101. Protocol on Preparedness, Response and Co-operation in pollution Incidents by Hazardous and Noxious Substances, IMO, 2000
102. Publish What You Pay (PWYP)
103. Sustainable Agriculture Standards, Sustainable Agriculture Network, 2008
104. UN Basic Principles and Guidelines on Development-based Evictions, 2007
105. United Nations Collaborative Programme on Reducing Emissions from Deforestation and Forest Degradation in Developing Countries (UN-REDD)
106. Voluntary Principles on Security and Human Rights (specifically for extractive sector companies), 2000

Through our responsible investment approach, KCM aims to contribute to the Sustainable Development Goals (SDGs), United Nations, September 2015



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